



Please type a plus (+) inside this box -

4-5-1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	10460-011-999	Total Pages <u>1</u>
First Named Inventor or Application Identifier		
Goh		
Express Mail Label No.	EL 451 596 512 US	

**APPLICATION ELEMENTS**  
*See MPEP chapter 600 concerning utility patent application contents.*

- |   |                                     |   |
|---|-------------------------------------|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br><i>Submit an original, and a duplicate for fee processing</i>  | [Total Pages <u>58</u> ]            | 6. <input type="checkbox"/> Microfiche Computer Program ( <i>Appendix</i> )   |
| 2. <input checked="" type="checkbox"/> Specification<br><i>(preferred arrangement set forth below)</i>  |                                     | 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>                 |
| -Descriptive title of the Invention   |                                     | <input type="checkbox"/> Computer Readable Copy   |
| -Cross Reference to Related Applications  |                                     | <input type="checkbox"/> Paper Copy ( <i>identical to computer copy</i> )   |
| -Statement Regarding Fed sponsored R&D  |                                     | <input type="checkbox"/> Statement verifying identity of above copies   |
| -Reference to Microfiche Appendix   |                                     |   |
| -Background of the Invention  |                                     |   |
| -Brief Summary of the Invention   |                                     |   |
| -Brief Description of the Drawings ( <i>if filed</i> )  |                                     |   |
| -Detailed Description of the Invention (including drawings, <i>if filed</i> )   |                                     |   |
| -Claim(s)   |                                     |   |
| -Abstract of the Disclosure   |                                     |   |
| 3. <input checked="" type="checkbox"/> Drawing(s) ( <i>35 USC 113</i> )   | [Total Sheets <u>5</u> ]            |   |
| 4. <input checked="" type="checkbox"/> Oath or Declaration ( <i>unexecuted</i> )  | [Total Sheets <u>3</u> ]            |   |
| a. <input type="checkbox"/> Newly executed (original or copy)   |                                     | 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| b. <input type="checkbox"/> Copy from a prior application ( <i>37 CFR 1.63(d)</i> )<br><i>(for continuation/divisional with Box 17 completed)</i>   |                                     | 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| <i>[Note Box 5 below]</i>   |                                     |   |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>  |                                     | 10. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )  |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).  |                                     |   |
| 5. <input type="checkbox"/> Incorporation By Reference ( <i>useable if Box 4b is checked</i> )  |                                     | 11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations         |
| The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |                                     |   |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  |                                     |   |
| <input type="checkbox"/> Continuation   | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) <i>of prior application No:</i> filed .   |

**ACCOMPANYING APPLICATION PARTS**

- |   |
|---|
| 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| 10. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )  |
| 11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations         |
| 12. <input type="checkbox"/> Preliminary Amendment  |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>                |
| 14. <input type="checkbox"/> Applicant claims Small Entity Status, see 37 C.F.R. §1.27  |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>                        |
| 16. <input checked="" type="checkbox"/> Other: Cover Sheet for Application  |

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	20583  (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below
NAME		
ADDRESS		
CITY	STATE	ZIP CODE
COUNTRY	TELEPHONE	FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Express Mail No.:EL 451 596 512 US

## PENNIE &amp; EDMONDS LLP

COUNSELLORS AT LAW  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
(212) 790-9090

ATTORNEY DOCKET NO. 10460-011-999Date: April 3, 2001

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Goh *et al.*

Executed on: unexecuted

Title of Invention: ETHER-AMINE BASED POLYMERIZATION CATALYSTS, COMPOSITIONS AND PROCESSES  
USING SAME

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	102	-20	82	\$18.00 each	\$ 1,476.00
Independent	7	-3	4	\$80.00 each	\$ 320.00
Minimum Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$270.00)					\$ 270.00
Total					\$ 2,776.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$
Total Filing Fee					\$ 2,776.00

- DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- Priority of application no. 60/194,650 filed on April 4, 2000 is claimed under 35 U.S.C. § 119.
- The certified copy of the priority application has been filed in application no. filed
- Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Sunil K. Singh  
PENNIE & EDMONDS LLP  
for Samuel B. Abrams (Reg. No. 30,605)  
45,298  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.